

PIPE JC7
JUL 20 2001

AF/27007

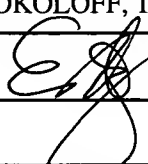
Approved for use through 19/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

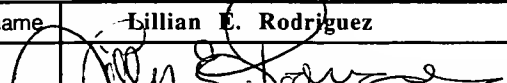
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| | | | |
|---|----------------------|------------------------|----------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/097,307 | |
| | Filing Date | June 12, 1998 | |
| | First Named Inventor | Anand Narasimhan | |
| | Group Art Unit | 2662 | |
| | Examiner Name | Joe Logsdon | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | 2964P004 |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Check in the amount of \$310.00 Return Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| Signature |  |
| Date | July 17, 2001 |

| CERTIFICATE OF MAILING | | | |
|--|---|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 17, 2001 | | | |
| Typed or printed name | Lillian E. Rodriguez | | |
| Signature |  | Date | 07/17/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **310.00****Complete if Known**

| | |
|------------------------|--------------------------|
| Application Number | 09/097,307 |
| Filing Date | 06/12/98 |
| First Named Inventor | Anand Narasimhan, et al. |
| Examiner Name | Joe Logsdon |
| Group Art Unit | 2662 |
| Attorney Docket Number | 2964P004 |

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RECEIVED**METHOD OF PAYMENT** (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒
- Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION** (continued)**3. ADDITIONAL FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within first month | |
| 116 | 400 | 216 | 200 | Extension for response within second month | |
| 117 | 950 | 217 | 475 | Extension for response within third month | |
| 118 | 1,510 | 218 | 755 | Extension for response within fourth month | |
| 128 | 2,060 | 228 | 1,030 | Extension for response within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | 310 |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidably | |
| 141 | 1,320 | 241 | 660 | Petition to revive - unintentionally | |
| 142 | 1,320 | 242 | 660 | Utility issue fee (or reissue) | |
| 143 | 450 | 243 | 225 | Design issue fee | |
| 144 | 670 | 244 | 335 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 790 | 246 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 790 | 249 | 380 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 790 | 201 | 395 | Utility filing fee | |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 540 | 207 | 270 | Plant filing fee | |
| 108 | 790 | 208 | 395 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) _____**2. EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| 14 | 20 ** = | 0 X 18.00 = | \$0.00 |
| Independent Claims | 2 - 3 ** = | 0 X 80.00 = | \$0.00 |
| Multiple Dependent Claims | | | |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|---|----------|
| 103 | 22 | 203 | 11 | Claims in excess of 20 | |
| 102 | 82 | 202 | 41 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple Dependent claim | |
| 109 | 82 | 209 | 41 | **Reissue independent claims over original patent | |
| 110 | 22 | 210 | 11 | **Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$) **0.00**

**or number previously paid, if greater. For Reissues, see above

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **310.00****SUBMITTED BY**

Typed or Printed Name

Eric S. Hyman, Reg. No. 30,139

Signature

Date

07/17/01

Complete (if applicable)

Reg. Number

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02-2666

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8/Notice of Appeal
A. Wms
7/27/01

Attorney's Docket No.: 2964P004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Anand Narasimhan, et al

Serial No.: 09/097,307

Filed: June 12, 1998

For: **SCALABLE ARCHITECTURE FOR
TRANSMISSION OF MESSAGES
OVER A NETWORK**

Examiner: Joe Logsdon

Art Group: 2662

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NOTICE OF APPEAL FROM THE EXAMINER TO THE
BOARD OF PATENT APPEALS AND INTERFERENCES

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision of the Examiner in an Advisory Action dated July 10, 2001.

A check in the amount of \$310.00 is enclosed herewith. Please charge any additional fees or credit any overpayment to our deposit account no. 02-2666. A duplicate copy of the Fee Transmittal is enclosed herewith.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: July 17, 2001

By: 

07/24/2001 SSESHE1 00000015 09097307

01 FC:119

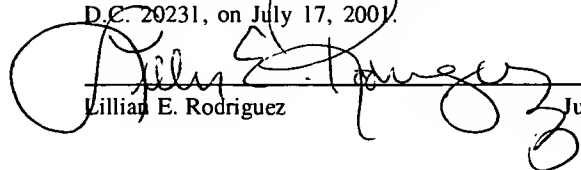
310.00 0P

Eric S. Hyman
Reg. No. 30,199

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, California 90025
(310) 207-3800

CERTIFICATE OF MAILING:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box After Final, Assistant Commissioner for Patents, Washington, D.C. 20231, on July 17, 2001.


Lillian E. Rodriguez

7-17-01
July 17, 2001